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Collective Efficacy And Police Homicides: Evidence From California In The 1990s

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COLLECTIVE EFFICACY AND POLICE HOMICIDES: EVIDENCE FROM CALIFORNIA IN THE 1990S

by

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A Thesis
Submitted to the Graduate Faculty
of the
University of North Dakota
in partial fulfillment of the requirements
for the degree of
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April 2018
This thesis, submitted by Jayme Reynolds in partial fulfillment of the requirements for the Degree of Master of Science in Applied Economics from the University of North Dakota, has been read by the Faculty Advisory Committee under whom the work has been done and is hereby approved.

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Jayme Reynolds
April 2018
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ABSTRACT

This paper examines certain factors relating to collective efficacy; loosely defined as the strength of a community, and the effect those factors have on police homicides in the respective communities. More specifically, this study analyzes three components that contribute to collective efficacy: linguistic isolation, residential stability, and educational attainment. Data utilized in this study include U.S. Census data as well as data from a unique dataset containing California state-wide homicides during the 1990s. Using a negative binomial regression on pooled zip code data shows that, in relation to the factors mentioned above, educational attainment is the most influential characteristic analyzed in relation to police homicides, even more so than the racial makeup of a neighborhood. This result was found to be statistically significant at the 1% level. The results imply that for each percent increase of the persons without a high school diploma by the age of 25, the community sees a multiplicative rate of increase of 1.59 percent (IRR 1.0159) in the number of police homicides. Moreover, a one standard deviation increase in the percentage of high school dropouts by age 25 was associated with a 29.6% increase in the police homicide rate. The paper did not find any systematic relationship between the aforementioned focal components (linguistic isolation, residential stability, and educational attainment) of collective efficacy and that of victimization of African Americans by police officers. As such, in terms of policy recommendations, it would seem that additional public funds should be spent on local education and adult graduation rates to assist with the growing issue of police homicides.
CHAPTER I
INTRODUCTION

In recent years politicians and media outlets have portrayed an entrenched battle between America’s police forces and the neighborhoods they serve. More heightened emphasis has been placed on the treatment of African American communities in relation to police brutality and, at extremes, unjustified killings. Examples such as Michael Brown in Ferguson, Freddie Gray in Baltimore, and Keith Scott in Charlotte have ignited protests and riots, not only in the respective cities, but across the nation. The civil unrest in these cities, among many others (most recently Sacramento with Stephon Clark), have put forth a contentious nationwide debate that has not been experienced with such fervor in decades. The Black Lives Matter movement, with protests around the country, seems to vilify peace officers due to the perceived targeting of African Americans and the lack of accountability for these actions. The demonstrations arise from the strain these communities deal with on a regular basis compounded with the perception of an “us versus them” mentality towards police officers.

At the extremes of police treatment, police homicides or officer involved shooting deaths (OISDs) are not extremely uncommon relative to total homicides. Data collected from California from the 1990s highlight that police “justifiable homicides” accounted for 3.5% of total homicides. These unfortunate events not only affect communities from a social standpoint, but also from a financial one. According to data collected from the Los Angeles Times, in the period spanning 2002 to 2011, taxpayers paid out approximately $101 million to settle lawsuits...
against Los Angeles Police Department officers accused of wrongful deaths (amongst other offenses). These payouts are not unique to Los Angeles, as other major American cities pay out hundreds of millions each year in civil suits relating to “justifiable” police homicides. The community is hurt by loss of life, loss of trust in its police force, and loss of public funds which could be better served elsewhere. Examining the community factors that play a role in these deaths may allow municipalities to not only reduce the loss of lives of their respective citizens, but also save taxpayer dollars on lawsuits and reallocate those funds to other, more beneficial uses.

Despite the uptick in media coverage and financial strain on cities in relation to OISDs, the literature regarding police homicides and neighborhood conditions is scarce. While the rationale behind this discrepancy may be explained by several factors, the primary one is a lack of systematic and accessible police homicide data. Currently, there is no federal law that requires incidents of police violence and homicides to be collated in one place. Another limiting factor is the lack of verifiable victim demographics in relation to the police shootings. The unique dataset utilized in this paper provides the information necessary to analyze the victim’s identifiable information and provides the most available granular level of detail of the neighborhood characteristics involved.

This paper explores the extent that neighborhood factors relating to collective efficacy and race influence police homicides or OISDs. In this context, collective efficacy refers to “social cohesion among neighbors combined with their willingness to intervene on behalf of the common good” (Sampson 1997). The analyses in this paper use a unique datafile of

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Supplemental Homicide Reports (SHR) compiled by the Federal Bureau of Investigation (FBI), linked with that of the California Department of Health Services (CDHS) Vital Statistics (VS) mortality data from the time period 1990 to 1999 for California. For purposes of this paper, the linked SHR and VS datafile is then combined with available U.S. Census data by ZIP Code. The U.S. Census data compiled in this analysis relates to the housing and population metrics stored by the Center for Disease Control and Prevention (CDC). The main goal of this paper is to isolate key factors relating to the collective efficacy of the neighborhoods that were involved in OISDs, and their potential effect on police homicides. A secondary aim of this paper is to investigate the influence of race and the respective neighborhood conditions on OISDs.

This paper is organized as follows. The next chapter frames the issue and provides a discussion of meaningful literature that has contributed to the issue at hand. Chapter Three describes in detail the data set used in the analysis. Chapter Four provides the framework of the negative binomial regression (NBR) methodology employed and the rationale behind that methodology. Chapter Five provides the results of the NBR analyses with the interpretation of the results. Lastly, Chapter Six concludes the paper and provides policy suggestions moving forward.
CHAPTER II
LITERATURE REVIEW

Despite the urgent need for more data and research to be published regarding police treatment in more extreme cases, authorities and municipalities are hesitant to comply with the publication of such data. Therefore, it has been left up to the public to aggregate police shootings information, and slowly the data is coming forth. Specifically, the Washington Post has begun a project to collect specifics of police shootings, beginning with the 2015 year. Unfortunately, this data is compiled by scanning local newspapers and social media accounts, as well as by individuals who submit data (and may do so fraudulently to represent a specific agenda). No systematic, reliable, government sponsored database currently exists to help analyze the data of police shootings and the community factors that contribute to collective efficacy of the neighborhoods of the victims.

That being said, prior research does exist that shows that neighborhoods with strong levels of collective efficacy have lower rates of violence amongst other civilian members of the community (Sampson 1997). For collective efficacy to develop in a specific community, it is imperative that community members have valid sentiments of trust and solidarity for others in the community. Many factors may affect collective efficacy of a neighborhood, but again, the underlying research shows that when collective efficacy is strong, neighborhoods experience not only less minor violence and crime, but also less civilian homicides (Wu 2009). Given the stakes
at hand, it would seem a worthwhile exercise to link the conditions of neighborhoods with that of the extreme use of force exhibited by peace officers.

Previous literature, more specifically Sampson and Raudenbush (1999) and Wu (2009), suggests several at-risk factors as key control variables to examine the community dynamics within which homicide takes place. The main focal points of collective efficacy put forth by those papers consist of linguistic isolation, residential stability, and educational attainment by way of concentrated disadvantage. These aforementioned influences are used as key variables for this paper, and are explained in more detail below in reference to theories of policing.

In reference to linguistic isolation and policing, this paper proposes two differing hypotheses which both seem plausible. One is that a more isolated community with respect to language experience a stronger sense of collective efficacy as they bond over language, and moreover traditions, which may include sentiments of respect for those in authority. This would imply less hostility between police officers and the neighborhoods they serve, despite speaking different languages. Another hypothesis is that officers may seem to have a confrontational or blatantly racist view to the people they serve that are not of a similar racial makeup to themselves which allows for a harboring of resentment by the community (Goldkamp 1976). Furthermore, research has shown that police are less likely to report certain types of crime in linguistically isolated areas (Varano et al, 2009). These viewpoints and corresponding actions may increase distrust of police, which could then boil over altercations involving police homicides.

The second factor of collective efficacy analyzed in this paper is residential stability. The hypothesis employed is that renters are more likely to be on the move more frequently than that
of homeowners, which negatively affects collective efficacy. The positive community benefits of “laying roots” that home ownership entails has been well documented in relation to residential stability (Sanbonmatsu et al. 2011; Wood, Turnham and Mills 2008). Police would then be more familiar with their community members, and be less likely to be involved in an altercation with known, and involved residents.

The last factor of collective efficacy examined is the notion of educational attainment or concentrated disadvantage. This concept is that the neighborhoods with poor schooling and the least educational attainment tend to have not only the lowest incomes but also higher rates of unemployment, financial dependence, and institutional disinvestment (Freedman & Woods 2013; Land, et al. 1990; Wilson 1987). As truancy and lack of a desire for educational attainment runs rampant, investment in property decreases and decay of institutions become commonplace. The social order then breaks down and the collective efficacy of the neighborhood weakens, allowing for a harsher tone between the police force and the broken down community it serves.

**Racial Differences**

Over the past decade, a significant amount of research has been devoted to police treatment of minorities. The literature has provided many examples of police targeting minorities for minor infractions to more complex crimes (Engel & Calnon 2004; Jacobs & O’Brien 1998). The research provides an insight into the mindset of minorities with respect to their sentiments toward peace officers. Moving forward to a more inclusive society requires equal treatment of all, especially by those with powers of authority. Jacobs and O’Brien (1998) showed that cities with more African Americans and, moreover, a recent growth in the African American population have higher rates of police killings of African Americans. They also found
that the presence of an African American mayor reduced these killings. Another recent, and somewhat controversial, study provided the conclusion that, although racial discrimination is rampant in relation to overall police treatment of civilians, no systemic discrimination in relation to police shootings existed (Fryer 2016). Other sociological studies have looked at the demographics of police forces and concluded that more diversified departments do not have significantly lower levels of police-caused homicides (Smith 2003).

While progress has been made to understand the connection between collective efficacy and general crime (as well as civilian homicides), little research has been undertaken with respect to factors that contribute to collective efficacy and their impact on police homicides of civilians. This study aims to fill the void by (a) investigating the community context in which police homicides take place and (b) examining the effect that specific community factors have on police homicides in relation to the racial composition of the homicides. More poignantly, this study examines three specific factors of collective efficacy highlighted by Sampson (1999) and Wu (2009) to evaluate their effect on OISDs.
CHAPTER III
DATA

To date there are very limited systematic data on police homicides along with victim demographics and details of the incident. Out of the 17,000 law-enforcement agencies in the United States, only 750, or roughly four percent of the agencies, submitted death-by-police data to the FBI in the most recent year available. The dataset analyzed in this paper is one of the first data sources aggregated which contained all homicide data for a particular state, and then broke out the homicide data by category of homicide. Therefore, the data supplied police homicide data with accompanying victim demographic information which was matched by two different agencies.

The homicide data analyzed (ICPSR 3482) contains certain information on victims and circumstances of the 34,542 homicides investigated by law enforcement agencies in California for the period 1990 to 1999. The data collection resulted from the project "Linked Homicide File for 1990-1999," which was conducted by the CDHS, Epidemiology and Prevention for Injury Control Branch, for the purpose of studying homicide and providing evidence for the development of strategies to reduce the homicide rate in California. Figure 1 below presents the homicide rate for California from 1960 through 2011.
It is noted that the intention of the linkage project was not to reduce police homicides, rather the civilian homicide rate. As seen in Figure 1, the homicide rate in California had seen rates rise throughout the 1970s and remain high in the 1980s, before rising once again in the early 1990s. Prior to the 1980s, California was routinely toward the middle of all the States in reference to murder rates. In the 1980s and early 1990s, however, California’s murder rates rose dramatically, and were consistently in the top 10 of all States.

The specific data are SHRs, which are received monthly by the Department of Justice from all local California law enforcement agencies as part of the national Uniform Crime Reporting program (Uniform Crime Reports [United States]: Supplementary Homicide Reports, 2

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2 Data for this figure is collected from the FBI Uniform Crime Annual Crime Reports. [www.disastercenter.com/crime/cacrime.htm](http://www.disastercenter.com/crime/cacrime.htm). Accessed April 2018.
The researchers linked the SHRs to the CDHS’ VS mortality database, which contain the death records provided by the medical examiner or coroner of each county after investigation of the death. The SHRs are standardized, check-the-box, type forms that are required to be submitted when a homicide is investigated by the police. This linked dataset, at the time compiled, was the only dataset of its kind, as no other states had completed a similar process of matching SHRs to a specific state VS database. Figure 2 provides a depiction of all variables compiled by the two agencies independently, as well as the common variables stored by the SHR and VS databases.

Figure 2: Variables in the VS and SHR Databases
It is of note that SHRs utilize the crime status variable which includes willful homicides, police and civilian justifiable homicides, and manslaughters. This differs slightly with the VS database, which uses the International Classification (ICD9) for classifying deaths. The ICD9 has two groupings of relevant homicides: The first is “Homicide and injury purposely inflicted by other persons” (E960-E969) and the other being “Legal intervention” (E970-E978). Legal intervention, as noted by Rokaw et al. (1990) include homicides which are “injuries inflicted by the police or other law-enforcing agents, including military on duty, in the course of arresting or attempting to arrest lawbreakers, suppressing disturbances, maintaining order, and other legal action” (p. 449). A review of the data conducted by Riedel and Regoeczi (2006) using a multilevel analysis comparing on a case-by-case basis found that, indeed, one of the variables reported with the least amount of agreement was that of police homicides. They found that of the homicides classified as justifiable by police in the SHR, VS classified roughly half of these cases as legal intervention homicide. One rationale behind the discrepancy would be that police compiling SHRs classify more police shootings as justifiable in order to prevent offending officers from being charged with an offense that carries criminal or civil liability. Another explanation is that coroners err toward a generic homicide classification than in the lesser used legal intervention classification. The linked file used in this analysis attempts to merge the two, and in relation to crime status, the following variables are utilized: criminal homicide, justifiable – private citizen, manslaughter, and justifiable – peace officer. This last option is the basis for the dependent variable analyzed for the purposes of this paper.

---

3 Given the data structure, it may be possible that a peace officer could kill someone on duty and it be classified as a “criminal” homicide or “manslaughter.” However an extensive search yielded no results for such an event for California in the 1990s. This is not an uncommon trend, as for the ten year period from 2005-2015, only one California officer was charged with murder or manslaughter in connection with an on-duty shooting.
Looking at the homicide data as a whole, we see in the following figure that total frequency of homicides in the state of California peaks during the 10-year period in 1993 then steadily declines year over year, reaching a low in 1999. Figure 3 depicts this in a histogram form covering the years under analysis.

**Figure 3: Total Homicides by Year in California 1990-1999**

Contrast the above figure with that of the OISDs throughout the 10 year period as depicted in Figure 4 below.
Similar to total homicides, OISDs peak in 1993, perhaps coinciding with the tensions around the Rodney King trial and subsequent riots. As opposed to total homicides over the time frame analyzed, however, OISDs experience another spike in 1997 and trend slightly downward over the following years. These frequencies suggest that while total homicides decline steadily over the 1990s, OISDs do not necessarily mirror the overall homicide trend. These initial statistics and figures allow for a further exploration into the causes of OISDs.

For the purposes of this paper, the homicide data is pooled by zip code to provide a proxy measure for the community or the neighborhood. Zip code level data is appropriate for the purposes of this analysis as, practically speaking zip code level data from the U.S. Census was available to analyze, and the larger geographical regions would distort the “neighborhood” focus of this paper. Moreover, there is no systematic data that would provide a more granular level of analysis (e.g. street level, ZIP+4 code). The ability to use a more specified level of geographical
analysis provides particular insight into the communities represented than that of a larger, more diverse and populated geography. The variables utilized in this analysis are constructed with the 1990 census data. The use of this specific year is primarily due to practicality as the Census is conducted every ten years, but also to allow for the analysis of data prior to any perceived, systemic migration due to the tensions around the Rodney King riots and subsequent aftermath.

Two major qualifications are needed when utilizing this dataset, more specifically in context of police homicides. The first is that the bulk of the data utilized in the analysis is compiled from reports conducted by the police officers themselves. Inherently there is an incentive for officers to provide misleading or inaccurate accounts of the homicides in question so as to not be investigated for any disciplinary actions. Moreover, these reports do not contain any third-party eyewitness accounts, nor does it contain an account from the victim. It would be difficult to quantify the misreporting bias associated with the results. Secondly, and perhaps more obviously, it is nearly impossible to obtain all variables of significance at the time of the shooting. The SHR data does not go into robust narratives of the circumstances, and act as solely a form to check whilst investigating a homicide. The user error on these forms, without misreporting, may indeed be somewhat large, but hard to verify.

In the next section, the paper explores the methodology options available to analyze the dataset.
CHAPTER IV
METHOD

Negative Binomial Regression (NBR) Framework and Selection

The negative binomial regression (NBR) framework is similar to that of the regular multiple regression with the main exception that the dependent variable is an observed count, with no natural upper bound, that follows the negative binomial distribution. Therefore, the only possible values of the dependent variable are positive integers which include zero. The Poisson framework may be employed if the primary assumption of the mean and the variance of the respective dependent variables are the same. If not, NBR, is used to correct such a violation (as put forth by Cameron & Trivedi, 1998).

In negative binomial regression framework, the mean of the dependent variable is determined by the exposure variable \((t)\) and a set of \(k\) regressor variables. The expression relating these quantities is:

\[
\mu_l = \exp (\ln (t_l) + \beta_1 + \beta_2 x_{1l} + \beta_2 x_{2l} + \beta_k x_{kl})
\]

The parameter \(\mu\) is the mean incidence rate of the dependent variable per unit of exposure. Exposure may be time, space, distance, area, volume, or population size. The parameter \(\mu\) may be interpreted as the risk of a new occurrence of the event during a specified exposure period. The NBR is a generalization of Poisson regression which releases the limiting
assumption that the variance is equal to the mean. The NBR model adds a parameter that allows the conditional variance of the dependent variable to exceed the conditional mean.

The use of the NBR, instead of the commonly used ordinary least squares (OLS) regression, is based on the following characteristics of the data. First, police homicide is a rare event relative to total homicides. To construct a police homicide rate based on rarity would make the dependent variable unstable. Second, a prognosis test detects an overdispersion in the dependent variables which means, the mean and variances of the dependent variables utilized in this analysis, specifically OISDs and race-specific OISDs, are not equal. This overdispersion is caused by the skewed nature of the dependent variable, namely, OISDs. Graphically, it is apparent that all the dependent variables analyzed in the models employ do not follow a normal distribution, and more specifically, overdispersion occurs in each case. The appendix provides histograms of all dependent variables analyzed in this paper. Lastly, since the dependent variable contains several zero values, a zero-inflated negative binomial model (ZINB) may be of use in the analysis.\textsuperscript{4} However, there are two assumptions as to why the NBR is the preferred methodology. One, the excess zeros cannot be modeled independently, as there are not two possible processes that arrive at a zero outcome. That rationale relies on the assumption that police officers are not providing false statements on SHRs. Secondly, when performing a test similar to Vuong (1989), the z-value of that analysis is not significant which highlights that the ZINB is not a better fit that the standard NBR. The Vuong closeness test uses the Kullback-Leiber Information Criterion to measure the goodness of fit for two models. This test can be used to determine whether zero-inflation is present in data. For these reasons, for the purposes of

\textsuperscript{4} In relation to the number of dependent variable zeros, there were 1,024 out of 1,523 California zip codes that did not experience an OISD during the 1990s.
this analysis, the NBR provides the more reliable framework than that of other models including a more generic Poisson estimation, a modified OLS regression, or a ZINB framework.

Specific NBR Model Defined

The form of the model equation for NBR is identical to that of the Poisson regression in as such the log of the outcome is predicted with a linear combination of the predictors. In this instance, the primary NBR empirical model estimated is the following:

\[ OISDs = \exp \left( \ln (POP) + \beta_0 + \beta_1 \text{LANG} + \beta_2 \text{RENT} + \beta_3 \text{EDUC} + \beta_4 X_i + \epsilon_i \right) \], \hspace{1cm} (1)

which implies:

\[ OISDs = POP \cdot \exp(\beta_0) \cdot \exp(\beta_1 \text{LANG}) \cdot \exp(\beta_2 \text{RENT}) \cdot \exp(\beta_3 \text{EDUC}) \cdot \exp(\beta_4 X_i) \cdot \exp(\epsilon_i) \]

In performing the analysis, both NB1 and NB2 variances were conducted, and the more standard NB2 model was utilized. The results did not differ significantly with this methodology.

Dependent Variable

\( OISDs \) is the pooled count of police homicides for each of the 1,523 zip codes in California throughout the 1990s. In reference to the specific dataset, a police homicide or OISD is coded as “peace officer – justifiable.” As the number of police homicides is relatively uncommon compared to that of other homicides (roughly 3.5 percent of all homicides during the time period analyzed), a pooled count of police homicides from 1990 to 1999 is the more appropriate measure to utilize. This methodology ensures a sufficient count for multivariate analysis. The aggregation of police homicides by zip code area is further extrapolated to generate pools of OISDs for by race (African American versus non-African American) in order
to carry out race-specific specifications. The use of zip code area in this study is a pragmatic choice as the dataset is broken down to zip code level data. Furthermore, previous literature suggests that studies which employ metropolitan areas, counties, and zip code level data show findings which tend to converge among other studies which use macrounits of analysis (Lee & Bartkowski, 2004).

*Explanatory Variables*

Similar to analyses conducted by Wu (2009), this paper looks at factors of collective efficacy and its relation to homicide. Deviating from Wu, this paper (i) does not aggregate the factors into a combined index for collective efficacy, and (ii) focuses on a unique subset of homicide data (OISDs). The analyses provided in this paper aim to isolate the specific factors relating to collective efficacy that provide the most impact to OISDs. Employing indices of multiple variables as a proxy for collective efficacy may distort the individual components, which this paper attempts to correct. This paper uses proxy percentages for key factors of collective efficacy highlighted by Sampson and Raudenbush (1999) and Wu (2009): More specifically, for linguistic isolation, the variable used is the percent of linguistic isolation in a community. For the purposes of data collection from the CDC, and moreover this analysis, the linguistic isolation variable is computed as the summation of households that speak only Spanish, an Asian/Pacific language, or other non-English language divided by that of the total population of the zip code. For residential stability, the variable is computed as renter occupied percentage of occupied housing over total occupied housing of the zip code. Lastly, in reference to educational attainment, the calculation for the proxy for this variable is the number of adults under 25 years of age without a high school diploma divided by total persons of a zip code. Each of these variables were calculated from 1990 U.S. Census data at the zip code level.
Other control variables that are of interest and therefore entered into the framework are single mother households, home age in a community, the median home prices, and the median household income. Each of these control variables were analyzed to provide a more robust analysis. Finally, neighborhood civilian killings may be a large factor on whether or not an officer uses excessive force to resolve an altercation. To provide some methodology controlling for prior sources of crime/local homicides, a lagged variable based on total homicide count in each zip code for 1990 was constructed and employed as a control variable.

*Racial Differences Specifications*

As mentioned in the introduction of this paper, the focal point for media outlets and politicians have been the police homicides of the African American community. As such, it is important to delineate between African American victims of OISDs and the rest of the population of OISDs. Therefore, two additional specifications are employed to be able to provide for this analysis:

\[
\text{BLACKOISDs} = \exp \left( \ln (POP) + \beta_0 + \beta_1 \text{LANG} + \beta_2 \text{RENT} + \beta_3 \text{EDUC} + \beta_4 X_i + \epsilon_i \right), \quad (2)
\]

\[
\text{NONBLACKOISDs} = \exp \left( \ln (POP) + \beta_0 + \beta_1 \text{LANG} + \beta_2 \text{RENT} + \beta_3 \text{EDUC} + \beta_4 X_i + \epsilon_i \right) \quad (3)
\]

The control variables in (2) and (3) above remain similar to that of equation (1), however, the dependent variables analyzed in these alternate specifications are OISDs in which the victim was African American (2), and OISDs where the victim was not identified as African American (3).

*Exposure Issue*

Count models, such as the NBR model, require a mechanism to deal with the fact that counts can be made over different observation periods. Count models account for these
differences by including the log of the exposure variable in model with coefficient constrained to be one. In this instance, the count of OISDs by way of pooled zip codes are utilized as dependent variables for the various specifications presented. The population of each zip code may influence the number of OISDs in a given zip code. A higher population zip code, say one with a million people, will most likely have more OISDs than that with 100 people. To account for this issue, the population of each zip code is used as an offset or exposure variable. While the use of exposure variables is, in many cases, superior to that of analyzing rates (or per capita) measures as control variables, the Appendix provides the results of the analysis removing population as an exposure variable, and inserting it as a control variable. The rationale behind using population as an offset variable instead of a control variable is due to the fact that it makes use of the correct probability distributions for the NBR model employed. Regardless of the model employed, the results of the analyses do not significantly change.

Multicollinearity Issue

Previous studies involving aggregate data have suggested that some independent variables in the analytical model tend to have a high degree of correlation that causes a multicollinearity problem. For the purposes of this analysis, to circumvent the issues caused by the multicollinearity problem, an ordinary least squares regression is employed to the models to obtain the variance inflation factors (VIFs). There are varying opinions around thresholds for VIFs and rejecting independent variables (see O’Brien, 2007). Following Marquardt (1970) and Hair et al (1995), variables that produced variance inflation factors scores greater than 10 were removed from the analysis as these variables pose the potential problem of multicollinearity. No variable in the analysis exceeds the threshold suggested by these studies. All control variables
had VIFs under 3.0. It is also noted that the mean VIF for all the specifications highlighted in the next section are under the 2.5 threshold (1.93) suggested by Allison (1999).
CHAPTER V

RESULTS

There were 34,542 victims of homicides California-wide during the ten-year period ranging from 1990 through 1999. OISDs accounted for approximately 3.5% (N=1,204) of total cases. Table 1 presents the results of the statewide breakdown of homicide cases separating into two distinct groupings: OISDs and non-OISDs, other relevant victim background demographics.\(^5\)

<table>
<thead>
<tr>
<th>Table 1: Victim Background Factors- OISDs versus Non-OISDs</th>
</tr>
</thead>
<tbody>
<tr>
<td>% (N)</td>
</tr>
<tr>
<td>OISDs</td>
</tr>
<tr>
<td>State-wide (1)</td>
</tr>
</tbody>
</table>

**Sex**

<table>
<thead>
<tr>
<th></th>
<th>OISDs</th>
<th>Non-OISDs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male</td>
<td>97.8 (1,178)</td>
<td>81.6 (27,220)</td>
</tr>
<tr>
<td>Female</td>
<td>2.2 (26)</td>
<td>18.4 (6,118)</td>
</tr>
</tbody>
</table>

**Race**

<table>
<thead>
<tr>
<th></th>
<th>OISDs</th>
<th>Non-OISDs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Black</td>
<td>21.8 (261)</td>
<td>28.0 (11,385)</td>
</tr>
<tr>
<td>Hispanic</td>
<td>36.7 (440)</td>
<td>42.8 (14,211)</td>
</tr>
<tr>
<td>Non-Black, Non-Hispanic</td>
<td>39.4 (497)</td>
<td>29.1 (7,742)</td>
</tr>
</tbody>
</table>

**In Years (N)**

<table>
<thead>
<tr>
<th></th>
<th>OISDs</th>
<th>Non-OISDs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age</td>
<td>31.0 (1,192)</td>
<td>30.0 (32,921)</td>
</tr>
<tr>
<td>Black</td>
<td>28.2 (260)</td>
<td>29.1 (9,252)</td>
</tr>
<tr>
<td>Non-Black</td>
<td>31.8 (932)</td>
<td>30.3 (23,669)</td>
</tr>
<tr>
<td>Education</td>
<td>14.3 (1,141)</td>
<td>13.4 (30,981)</td>
</tr>
<tr>
<td>Black</td>
<td>15.0 (251)</td>
<td>13.2 (8,901)</td>
</tr>
<tr>
<td>Non-Black</td>
<td>14.2 (890)</td>
<td>13.4 (22,080)</td>
</tr>
</tbody>
</table>

(1) Due to missing data, certain subtotals do not add up to total observations.

\(^5\) It is noted that OISDs do not include civilian killings of police officers, for the purposes of this exercise these events are grouped into non-OISDs.
Men were the overwhelming majority of these victims accounting for 97.8% of the homicide cases. Contrast that with non-OISDs where women make up roughly 18.4% of the victims.

In reference to non-police homicides during this time period, on average, approximately 28% of the victims were African American, 43% were Hispanic, and 29% were non-African American, non-Hispanic (white). Contrast these statistics with the OISDs, and we find that 22% of OISDs in our data were African American, 37% were Hispanic, and roughly 40% were white. These summary statistics yield a surprising result: the percentage of African American victim homicides decreases by nearly seven percent when looking at the non-OISD population of homicides compared to that of OISDs. Keeping that in mind, from the data we see that African American victims of OISDs are slightly younger on average than that of non-officer involved fatalities.

Table 2 presents the grouped zip code level measurements utilized in the multivariate analysis. Of the total of 1,523 zip codes in California, roughly 30% (N=499) recorded at least one OISD. Moreover, roughly 8% (N=127) recorded at least one OISD involving a African American victim.6

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6 Roughly 9.7 (N=3,345) percent of the total number of homicides occurred outside of the county of residence. Only 12 of these homicides were OISDs, with all but one being Mexican nationals with place of death in California. Therefore it is assumed that zip code of residence is not distinctively different from zip code of event for purposes of analysis.
The statistics above show that the variance of the dependent variables analyzed in the specifications employed, namely total OISDs, African American OISDs, and non-African American OISDs exceed that of the mean. The summary statistics also highlight that on average, less than one (0.685) police homicide occurred per zip code in the 1990s. As mentioned in the methodology section of this paper, this assumption is a necessary requirement for usage of the NBR methodology.

**NBR Results**

NBR is applied to the following three models of analysis: all OISDs cases, African American victim cases, and non-African American victim instances. The first model tests the primary factors of collective efficacy on total police homicides with that to see the merits of each. The second and third specifications follow a similar structure, but instead focus on the racial context of the collective efficacy factors and their applicability to OISDs by comparing African Americans versus that of the cases with non-African American victims.
Table 3 shows the results of the NBR for all police homicide cases aggregated by California zip codes over the 10-year period. The figures in the first column of the table presented are the NBR coefficients, with robust standard errors highlighted in the parentheses underneath that of the coefficients. The second column highlights the estimated incidence rate ratios (IRR) for the variables analyzed. The IRR is the estimated rate ratio for a one unit increase in a variable, given the other variables are held constant in the model. In order to estimate the magnitude of each significant predictor in terms of percentage change in the dependent variable, a transformation is necessary. Moreover, the following formula is used in accordance with the transformation provided in equation (1) of the methodology section to estimate the effect on the dependent variable of a one standard deviation change in a control variable:

\[
(\exp(b_k * s_k) - 1) \times 100
\]

whereas, \( b_k \) is the NBR coefficient associated with the \( k \)th control variable, and \( s_b \) is the standard deviation associated with the \( k \)th control variable.
The results from Table 3 above show that of the three primary factors investigated, only educational attainment is statistically significant at the 1% level for that of police homicides.

The IRR suggests that if a community was to see a one percentage increase in its high school dropouts by age of 25, the rate for OISDs would be expected to increase by 1.59%, while holding all other variables in the model constant. Another way to express the magnitude of the association between increases in lack of educational attainment and increases in OISD rates is to calculate the change in OISD rates associated with a one standard deviation increase in the

<table>
<thead>
<tr>
<th>Table 3: NBR Models Estimating OISD Victimization</th>
<th>Coeff.</th>
<th>IRR</th>
</tr>
</thead>
<tbody>
<tr>
<td>Linguistically isolated (Percent)</td>
<td>-0.0018</td>
<td>0.9982</td>
</tr>
<tr>
<td>Renters (Percent)</td>
<td>-0.0001</td>
<td>0.9999</td>
</tr>
<tr>
<td>Non high school graduate under 25 (Percent)</td>
<td>0.0158***</td>
<td>1.0159***</td>
</tr>
<tr>
<td>Female head of household (Percent)</td>
<td>0.0083</td>
<td>1.0083</td>
</tr>
<tr>
<td>Race: Percent (Black)</td>
<td>0.0134***</td>
<td>1.0135***</td>
</tr>
<tr>
<td>Home older than 1950 (Percent)</td>
<td>-0.0014</td>
<td>0.9986</td>
</tr>
<tr>
<td>Homicide 1990</td>
<td>0.0040</td>
<td>1.0040</td>
</tr>
<tr>
<td>Median income in 1989 (in 000s)</td>
<td>-0.0049</td>
<td>0.9951</td>
</tr>
<tr>
<td>Median home value in 1990 (in 000s)</td>
<td>-0.0013*</td>
<td>0.9987*</td>
</tr>
<tr>
<td>Constant</td>
<td>-10.5260***</td>
<td>0.0000***</td>
</tr>
<tr>
<td>Observations</td>
<td>1,523</td>
<td>1,523</td>
</tr>
<tr>
<td>Pseudo R-squared</td>
<td>0.084</td>
<td>0.084</td>
</tr>
</tbody>
</table>

The dependent variable is total pooled OISDs over the 10-year period. Robust standard errors appear in parentheses. *, **, *** Statistically different from zero at the 10%, 5%, and 1% level.
percentage of persons without a high school diploma by the age of 25 (SD = 16.4%). In the primary model, after utilizing the transformation provided in equation (4), a one standard deviation increase in the percentage of high school dropouts by age 25 was associated with a 29.6% increase in the OISD rate. Also statistically significant at the 1% level in terms of estimating OISDs is the percentage of the community that is African American. The results suggest that a 1% increase in the percentage in the African American contingent of a community results in an increase in the OISD rate by 1.43%.

While not statistically significant, the results show that the more linguistically isolated a community is the less police homicides occur in that respective neighborhood. The direction of the coefficient may be due to the fact that non-English speaking communities are more respectful of police officers, and therefore are less likely to become combative with peace officers. It also may be due to the fact that California has maintained, and continues to maintain, a relatively high level of Latino police officers. The assumption here is the Latino citizens and Latino police officers share a language, and moreover a cultural background, which facilitates a more harmonious community. This result mirrors that of Varano (2009) in studying police behavior with respect to minority communities in San Antonio, Texas. The residential stability variable provides the least impact by magnitude, and is also not statistically significant. The rationale behind the lack of significance of the linguistic isolation and residential stability variables may be due to the diverse nature of zip codes in California. Each individual zip code may harbor more than one community, and conversely, one singular community may be located in several zip codes. The lack of precise location of OISDs, and defaulting on zip codes may not provide the level of granularity to achieve statistical significance with respect to these variables.
Racial Differences

In reference to the racial discrepancies of OISDs, Table 4 presents the two specifications regarding race. The first column highlights the estimation of OISDs in which the victim was African American, and the second column estimates the remainder of OISD victimization (or non-African American). The purpose of this analysis is to examine if any racial difference exists in relation to the collective efficacy factors and their respective effects on race-specific homicides committed by peace officers.
From Table 4 above, we see that none of the primary factors of collective efficacy analyzed in this paper are statistically significant in predicting African American OISDs. While not statistically significant, educational attainment for African Americans have the largest magnitude with respect to all other analyzed variables. This corroborates the primary analysis. On the other hand, for non-African Americans, educational attainment is statistically significant at the 1% level similar to that of total OISDs. Another statistically significant variable with
respect to non-African Americans, albeit at the 10% level, is the female head of household variable.

Overall these results are somewhat surprising, as they confirm that while the racial makeup of a community does play a role in the level of OISDs for that specific neighborhood, there is no statistically significant systematic relationship between the key components of collective efficacy and that of victimization of African Americans by police officers. Further research and data collection is warranted to provide for a more complete explanation. Specifically, a more complete database of police shootings which include the exact location of incident and more robust officer/victim demographics would prove to be fruitful. Additionally, a more direct measure of collective efficacy, as opposed to indirect proxies of key factors, would be instrumental to subsequent analyses. Without direct evidence reported by residents covering several communities, as is the case with Kochel (2011) who surveyed residents of Trinidad and Tobago, such a proxy measurement remains imperfect. Future efforts could incorporate both (i) a more robust and precise police shooting database, and (ii) a direct measure of collective efficacy of a large number of reflective communities.
CHAPTER VI
CONCLUSION

Police shootings have dominated media outlets over the past several years and have incited a discourse amongst the American people. This topic is one that continues to polarize the nation and continues to elicit a combative tone which, at the most extreme, can result in riots and violence. Surprisingly, there has not been a large, municipality-by-municipality sponsored effort to collect data to examine racial disparities in police shootings combined with community factors. This data may alleviate the burden placed on police officers so that they may uphold the law without fear of unwarranted retribution. The data may also allow for a look into any systematic abuses of police and warrant policy changes in accordance with those infractions.

The fact that embedded in the data may be a component of misreporting by way of “protecting the shield” is a key issue. As the dependent variable is taken as granted by way of the police self-reporting the unraveling of the event at hand, there is a strong possibility that the dependent variable suffers from misreporting bias. While unlikely, it may be the case that police officers alter the facts to make it seem that the event was not an OISD, but rather a case of civilian homicide. Therefore, it is quite impossible to know how widespread this type of misreporting bias is (Schneider, 1977). This misrepresentation of events can be systematically reduced by way of objective viewpoints from that of the officers themselves. Taking away the subjectivity of the events requires first hand visual and audio evidence. Specifically, police body cameras have been enacted in several jurisdictions throughout the country, and can assist with
holding officers accountable in extreme situations or exonerating them from any wrongdoing. While the body cameras and dashboard video that provide distinct visual evidence are not uncommon these days, the collection and dissemination of the data will take years to effectively collect and analyze.

Speaking directly to the results of this paper, the analysis shows that community leaders, police, policymakers, elected officials and other stakeholders should focus their efforts on educational attainment for its citizens in order to potentially reduce police homicide. The results of the primary analysis suggest for every 1% increase in the population of a community lacking a high school diploma by age 25, the rate of OISDs increases by 1.59%. Furthermore, a one standard deviation increase in the percentage of high school dropouts by age 25 was associated with a 29.6% increase in the OISD rate The theory being that an educated and invested citizen is one that is not only more likely to remove him or herself from a position of concentrated disadvantage, but also less likely to engage in criminal activity and therefore have an altercation with the police that ends in tragedy. The results echo findings by Kochel (2011) who examined the island nation of Trinidad and Tobago and found a relationship between quality routine police services, levels of police misconduct, and collective efficacy. In Trinidad, the amount and nature of interactions with police appear to play an important part in residents’ and neighborhood-level assessments about police services and misbehavior.

A potential tool that may allow for the desired outcome of less police homicides is the ‘beat meeting’ – regularly scheduled meetings of the police with residents of their respective beats they patrol. Beat meetings would be best served at local schools with police interacting with community members including school age children. This would allow for a certain trust of peace officers from community members at a young age, and may assist with graduation rates.
These meetings can be of particular importance in communities that are predominately African American. While the paper does not find any systematic relationship between the linguistic isolation, residential stability, or concentrated disadvantage of a community and that of victimization of African Americans by police officers, it does find a statistically significant result for African American population and local OISDs. Funding for these types of programs which allow for police and community members to interact and to promote educational attainment would seem to be a more worthwhile endeavor than funding for police misconduct lawsuits. A stronger, more together neighborhood involves determined efforts by both its civilian members and its police officers.
REFERENCES


Appendix Figure A1: Histogram of Total OISDs

Appendix Figure A2: Histogram of Total Non-OISDs
Appendix Figure A-3: Histogram of African American Victim OISDs

Appendix Figure A-4: Histogram of Non-African American Victim OISDs
## Appendix Table B-1: Alternate Analysis Using Population as Control Variable

<table>
<thead>
<tr>
<th></th>
<th>OISDs (1)</th>
<th>BLACK OISDS (2)</th>
<th>NON-BLACK OISDS (3)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Linguistically isolated (Percent)</td>
<td>0.0044</td>
<td>0.0054</td>
<td>0.0032</td>
</tr>
<tr>
<td></td>
<td>(0.0083)</td>
<td>(0.0162)</td>
<td>(0.0090)</td>
</tr>
<tr>
<td>Renters (Percent)</td>
<td>0.0026</td>
<td>0.0096*</td>
<td>0.0037</td>
</tr>
<tr>
<td></td>
<td>(0.0027)</td>
<td>(0.0056)</td>
<td>(0.0030)</td>
</tr>
<tr>
<td>Non high school graduate under 25 (Percent)</td>
<td>0.0112**</td>
<td>0.0068</td>
<td>0.0185***</td>
</tr>
<tr>
<td></td>
<td>(0.0044)</td>
<td>(0.0115)</td>
<td>(0.0039)</td>
</tr>
<tr>
<td>Female head of household (Percent)</td>
<td>0.0168***</td>
<td>0.0105</td>
<td>-0.0033</td>
</tr>
<tr>
<td></td>
<td>(0.0037)</td>
<td>(0.0098)</td>
<td>(0.0043)</td>
</tr>
<tr>
<td>Race: Percent (Black)</td>
<td>0.0202***</td>
<td>0.0547***</td>
<td>-0.0075**</td>
</tr>
<tr>
<td></td>
<td>(0.0029)</td>
<td>(0.0057)</td>
<td>(0.0030)</td>
</tr>
<tr>
<td>Home older than 1950 (Percent)</td>
<td>-0.0042</td>
<td>0.0055</td>
<td>0.0123***</td>
</tr>
<tr>
<td></td>
<td>(0.0028)</td>
<td>(0.0065)</td>
<td>(0.0047)</td>
</tr>
<tr>
<td>Homicide 1990</td>
<td>-0.0064</td>
<td>-0.0092</td>
<td>-0.0082</td>
</tr>
<tr>
<td></td>
<td>(0.0071)</td>
<td>(0.0095)</td>
<td>(0.0075)</td>
</tr>
<tr>
<td>Median income in 1989 (in 000s)</td>
<td>0.0006</td>
<td>0.0056</td>
<td>-0.0002</td>
</tr>
<tr>
<td></td>
<td>(0.0050)</td>
<td>(0.0134)</td>
<td>(0.0052)</td>
</tr>
<tr>
<td>Median home value in 1990 (in 000s)</td>
<td>-0.0005</td>
<td>-0.0011</td>
<td>-0.0004</td>
</tr>
<tr>
<td></td>
<td>(0.0006)</td>
<td>(0.0012)</td>
<td>(0.0006)</td>
</tr>
<tr>
<td>Population (in 000s)</td>
<td>0.0449***</td>
<td>0.0453***</td>
<td>0.0449***</td>
</tr>
<tr>
<td></td>
<td>(0.0021)</td>
<td>(0.0044)</td>
<td>(0.0022)</td>
</tr>
<tr>
<td>Constant</td>
<td>-2.4442***</td>
<td>-5.0521***</td>
<td>-2.4900***</td>
</tr>
<tr>
<td></td>
<td>(0.2546)</td>
<td>(0.6883)</td>
<td>(0.2673)</td>
</tr>
<tr>
<td>Observations</td>
<td>1,523</td>
<td>1,523</td>
<td>1,523</td>
</tr>
<tr>
<td>Pseudo R-squared</td>
<td>0.228</td>
<td>0.288</td>
<td>0.207</td>
</tr>
</tbody>
</table>

The dependent variable in (1) is total pooled OISDs over the 10-year period. The dependent variable in (2) is total pooled OISDs in which the victim was black over the 10-year period. The dependent variable in (3) is total pooled OISDs in which the victim was not black over the 10-year period. Robust standard errors appear in parentheses. *, **, *** Statistically different from zero at the 10%, 5%, and 1% level.